



RECEIPT
PATENT
85CF-00125

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kubick et al.

Serial No.: 09/681,392

Filed: March 28, 2001

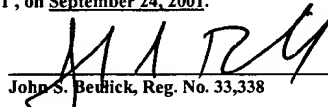
For: SYSTEMS AND METHODS FOR
CONDUCTING DUE DILIGENCE

Art Unit: 2176

Examiner:

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on September 24, 2001.


John S. Beulick, Reg. No. 33,338

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

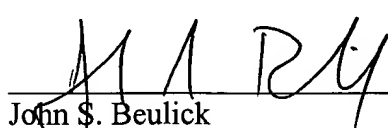
Applicants respectfully request that the filing receipt in the present application be corrected to set forth the correct attorney docket number and the correct title. A copy of the filing receipt with the changes noted thereon is enclosed.

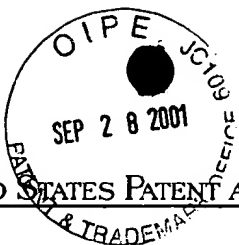
The correct attorney docket number is: 85CF-00125.

The correct title is: SYSTEMS AND METHODS FOR CONDUCTING DUE
DILIGENCE.

Applicants further request that a new, corrected filing receipt be issued.

Respectfully submitted,


John S. Beulick
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/681,392

03/28/2001

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85CF-00125

CONFIRMATION NO. 3350

23465

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UPDATED FILING RECEIPT



OC000000006506906

Date Mailed: 09/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

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James F. Hogan JR., Rowayton, CT;
James C. Chang, Stamford, CT;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/197,143 04/14/2000

Foreign Applications

If Required, Foreign Filing License Granted 05/15/2001

Projected Publication Date: 12/13/2001

Non-Publication Request: No

Early Publication Request: No

Title

Systems and methods

Methods and systems for conducting due diligence

ENTERED

Date: 9/10/01
By: MCC

17243-19

Preliminary Class

707

Data entry by : WOLDEYES, TEGUEST

Team : OIPE

Date: 09/04/2001

[REDACTED]

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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